

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L99999MH1962PLC012451

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACU0551B

(ii) (a) Name of the company

UNICHEM LABORATORIES LIM

(b) Registered office address

unichem bhavan, prabhat estate off s.v. Rd.,
JOGESHWERI (WEST)
MUMBAI
Maharashtra
400102

(c) *e-mail ID of the company

shares@unichemlabs.com

(d) *Telephone number with STD code

02266888333

(e) Website

www.unichemlabs.com

(iii) Date of Incorporation

22/08/1962

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Limited	1
2	National Stock Exchange India Limited	1,024

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

30/09/2021

(c) Whether any extension for AGM granted

Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C6	Chemical and chemical products, pharmaceuticals, medicinal chemical and	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

7

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	Niche Generics Limited, UK		Subsidiary	100
2	Unichem Farmaceutica Do Bra		Subsidiary	100

3	Unichem Laboratories Limited		Subsidiary	100
4	Unichem Pharmaceuticals (US)		Subsidiary	100
5	Unichem SA (Pty) Limited, Sou		Subsidiary	100
6	Unichem (China) Private Limite		Subsidiary	100
7	Synchron Research Services Pr		Subsidiary	32.11

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	175,000,000	70,405,750	70,405,750	70,405,750
Total amount of equity shares (in Rupees)	350,000,000	140,811,500	140,811,500	140,811,500

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
EQUITY SHARES				
Number of equity shares	175,000,000	70,405,750	70,405,750	70,405,750
Nominal value per share (in rupees)	2	2	2	2
Total amount of equity shares (in rupees)	350,000,000	140,811,500	140,811,500	140,811,500

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	5,000,000	0	0	0
Total amount of preference shares (in rupees)	50,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
PREFERENCE SHARES				
Number of preference shares	5,000,000	0	0	0
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	50,000,000	0	0	0

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	100,000,000

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	70,405,750	140,811,500	140,811,500	
Increase during the year	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	70,405,750	140,811,500	140,811,500	
Preference shares				
At the beginning of the year	0	0	0	

Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting

29/08/2020

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0		0
Partly convertible debentures	0		0
Fully convertible debentures	0		0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Deposit			0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

11,239,728,000

(ii) Net worth of the Company

26,369,076,000

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	35,722,664	50.74	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others Promoter - Trust	138,351	0.2	0	
	Total	35,861,015	50.94	0	0

Total number of shareholders (promoters)

7

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	21,627,432	30.72	0	
	(ii) Non-resident Indian (NRI)	1,765,891	2.51	0	
	(iii) Foreign national (other than NRI)	4,839	0.01	0	

2.	Government				
	(i) Central Government	579,216	0.82	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	191,853	0.27	0	
4.	Banks	22,900	0.03	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	1,052,567	1.5	0	
7.	Mutual funds	5,760,005	8.18	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	1,932,751	2.75	0	
10.	Others Others	1,607,281	2.28	0	
	Total	34,544,735	49.07	0	0

Total number of shareholders (other than promoters)

34,707

**Total number of shareholders (Promoters+Public/
Other than promoters)**

34,714

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

26

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
ALASKA PERMANENT +	DEUTSCHE BANK AG DB HOUSE, H +			20,711	0.03
CC&L Q EMERGING M +	STANDARD CHARTERED BANK SEC +			367	0
CITY OF NEW YORK GF +	DEUTSCHE BANK AG DB HOUSE, H +			20,090	0.03
DFA AUSTRALIA LIMIT +	CITIBANK N.A. CUSTODY SERVICES +			6,260	0.01
DFA INTERNATIONAL +	STANDARD CHARTERED BANK SEC +			7,050	0.01
DIMENSIONAL EMERG +	CITIBANK N.A. CUSTODY SERVICES +			211,345	0.3
EMERGING MARKETS S +	CITIBANK N.A. CUSTODY SERVICES +			2,495	0
DIMENSIONAL FUNDS +	DEUTSCHE BANK AG, DB HOUSE H +			2,618	0

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
JOHN HANCOCK VARI +	CITIBANK N.A. CUSTODY SERVICES +			4,453	0.01
UTAH STATE RETIREM +	CITIBANK N.A. CUSTODY SERVICES +			1,308	0
ACATIS INDIA VALUE +	STANDARD CHARTERED BANK Sec +			56,960	0.08
EMERGING MARKETS +	CITIBANK N.A. CUSTODY SERVICES +			257,473	0.37
QUEENSLAND INVEST +	CITIBANK N.A. CUSTODY SERVICES +			5,305	0.01
CITY OF LOS ANGELES +	CITIBANK N.A. CUSTODY SERVICES +			6,739	0.01
EMERGING MARKETS +	DEUTSCHE BANK AG, DB HOUSE H +			11,289	0.02
EMERGING MARKETS +	DEUTSCHE BANK AG, DB HOUSE H +			12,515	0.02
EMERGING MARKETS +	CITIBANK N.A. CUSTODY SERVICES +			5,045	0.01
GENERAL MOTORS EM +	THE HONGKONG AND SHANGHAI +			500	0
THE EMERGING MARK +	CITIBANK N.A. CUSTODY SERVICES +			169,650	0.24
DFA INTERNATIONAL +	STANDARD CHARTERED BANK SEC +			5,109	0.01
EMERGING MARKETS +	DEUTSCHE BANK AG, DB HOUSE H +			17,307	0.02
LOS ANGELES CITY EM +	CITIBANK N.A. CUSTODY SERVICES +			5,572	0.01
OREGON PUBLIC EMP +	DEUTSCHE BANK AG, DB HOUSE H +			5,397	0.01
SEI TRUST COMPANY +	HSBC SECURITIES SERVICES 11TH F +			10,787	0.02
SPDR S AND P EMERG +	DEUTSCHE BANK AG DB HOUSE, H +			193,317	0.27

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	7	7
Members (other than promoters)	34,707	34,707
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	1	0	1	0	46.16	0
B. Non-Promoter	1	4	1	4	0.11	0.03
(i) Non-Independent	1	0	1	0	0.11	0
(ii) Independent	0	4	0	4	0	0.03
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	2	4	2	4	46.27	0.03

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

8

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Dr. Prakash A. Mody	00001285	Managing Director	32,499,392	
Mr. Dilip Kunkolienkar	02666678	Whole-time director	75,538	
Mr. Prafull Anubhai	00040837	Director	782	
Mr. Anand Mahajan	00066320	Director	15,029	
Mr. Prafull Sheth	00184581	Director	7,500	
Dr. B. Kinnera Murthy	01878144	Director	0	
Pradeep Bhandari	ACHPB4630N	Company Secretary	0	
Sandip Rajaram Ghume	AIRPG2367B	CFO	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

0

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	29/08/2020	39,566	82	48.7

B. BOARD MEETINGS

*Number of meetings held

4

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	19/06/2020	6	6	100
2	04/08/2020	6	6	100
3	31/10/2020	6	6	100
4	30/01/2021	6	6	100

C. COMMITTEE MEETINGS

Number of meetings held

10

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	19/06/2020	5	5	100
2	Nomination & Remuneration	19/06/2020	3	3	100
3	Stake Holders	19/06/2020	3	3	100
4	Corporate Social Responsibility	19/06/2020	3	3	100

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
5	Audit Committee	04/08/2020	5	5	100
6	Stake Holders	04/08/2020	3	3	100
7	Audit Committee	31/10/2020	5	5	100
8	Corporate Soc	31/10/2020	3	3	100
9	Audit Committee	30/01/2021	5	5	100
10	Nomination &	30/01/2021	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	Dr. Prakash A	4	4	100	4	4	100	
2	Mr. Dilip Kunk	4	4	100	4	4	100	
3	Mr. Prafull Anu	4	4	100	10	10	100	
4	Mr. Anand Ma	4	4	100	6	6	100	
5	Mr. Prafull She	4	4	100	6	6	100	
6	Dr. B. Kinnera	4	4	100	8	8	100	

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Dr. Prakash A. Mod	Chairman & Mar	54,323,000	0	0	39,000	54,362,000
2	Mr. Dilip Kunkolienk	Director Technic	17,124,000	0	0	975,000	18,099,000
	Total		71,447,000	0	0	1,014,000	72,461,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. Pradeep Bhandari	Head- Legal & Compliance	7,738,000	0	0	0	7,738,000
2	Mr. Sandip Ghume	Deputy Chief Financial Officer	5,263,000	0	0	0	5,263,000
	Total		13,001,000	0	0	0	13,001,000

Number of other directors whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. Prafull Anubhai	Independent Director	1,150,000	0			1,150,000
2	Mr. Anand Mahajan	Independent Director	950,000	0			950,000
3	Mr. Prafull Sheth	Independent Director	950,000	0			950,000
4	Mrs. Kinnera Murthy	Independent Director	1,050,000	0			1,050,000
	Total		4,100,000	0	0		4,100,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Ragini kamal Chokshi

Whether associate or fellow

Associate Fellow

Certificate of practice number

1436

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

00001285

To be digitally signed by

Company Secretary

Company secretary in practice

Membership number

14177

Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

Unichem Laboratories Limited_MGT7_FIL_
Unichem Laboratories Limited_MGT7_SHT

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Unichem Laboratories Limited

Details in respect of shares held by or on behalf of the FIIs/ FPIs.

Sr. No	Name Of FII/FPI	FII/FPI	Folio No/DP Id	Address	Pan No.	Country Of Incorporation	Regn. No. with SEBI / RBI	No. of Share	% of share holding
1	ALASKA PERMANENT FUND	FPI	IN30016710021055	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	AADTA7800K		INUSFP117816/NA	20711	0.03
2	CC&L Q EMERGING MARKETS EQUITY FUND	FPI	IN30152430049602	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI	AABTC7446B		INCAFP153415/ NA	367	0.00
3	CITY OF NEW YORK GROUP TRUST	FPI	IN30016710030167	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	AAATC8419K		INUSFP213516/NA	20090	0.03
4	DFA AUSTRALIA LIMITED AS RESPONSIBLE ENTITY FOR DIMENSIONAL EMERGING MARKETS TRUST	FPI	IN30005410067527	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AABTD2064N		IN-AU-FP-0321-16/NA	6260	0.01
5	DFA INTERNATIONAL CORE EQUITY FUND	FPI	IN30152430049717	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI	AABTD3438N		INCAFP268915/ NA	7050	0.01
6	DIMENSIONAL EMERGING MARKETS VALUE FUND	FPI	IN30005410040054	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AACCD1578M		IN-US-FP-0337-16/N.A.	211345	0.30
7	EMERGING MARKETS SUSTAINABILITY CORE 1 PORTFOLIO	FPI	IN30005410097678	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AAECE9320Q		IN-US-FP-0240-18/NA	2495	0.00
8	DIMENSIONAL FUNDS PLC - MULTI-FACTOR EQUITY FUND	FPI	IN30016710128022	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	AAFCD1235L		INIRFP127115/NA	2618	0.00
9	JOHN HANCOCK VARIABLE INSURANCE TRUST EMERGING MARKETS VALUE TRUST	FPI	IN30005410078971	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AAATJ9048H		IN-US-FP-0382-15/NA	4453	0.01
10	UTAH STATE RETIREMENT SYSTEMS	FPI	IN30005410023614	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AAATU2901Q		IN-US-FP-2719-16/N.A.	1308	0.00
11	ACATIS INDIA VALUE EQUITIES	FPI	IN30152430041688	STANDARD CHARTERED BANK Securities Services, 3rd Floor 23-25, MAHATMA GANDHI ROAD FORT, MUMBAI	AAEAA4646H		INGEFP026016/GENERAL PERMISSION	56960	0.08
12	EMERGING MARKETS CORE EQUITY PORTFOLIO (THE PORTFOLIO) OF DFA INVESTMENT DIMENSIONS GROUP INC. (DFAIDG)	FPI	IN30005410013410	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AACCD1644G		IN-US-FP-0314-16/N.A.	257473	0.37
13	QUEENSLAND INVESTMENT TRUST NO 2	FPI	IN30005410084844	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AAATQ0268L		IN-AU-FP-0712-16/NA	5305	0.01
14	CITY OF LOS ANGELES FIRE AND POLICE PENSION PLAN	FPI	IN30005410032536	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AABTC0471C		IN-US-FP-0652-14/NA	6739	0.01

Sr. No	Name Of FII/FPI	FII/FPI	Folio No/DP Id	Address	Pan No.	Country Of Incorporation	Regn. No. with SEBI / RBI	No. of Share	% of share holding
15	EMERGING MARKETS CORE EQUITY FUND OF DIMENSIONAL FUNDS ICVC	FPI	IN30016710156689	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	AACCD1506B		INUKFP032216/ NA	11289	0.02
16	EMERGING MARKETS TARGETED VALUE FUND OF THE DIMENSIONAL FUNDS II PUBLIC LIMITED COMPANY	FPI	IN30016710100511	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	AABCE7501L		INIRFP027716/NA	12515	0.02
17	EMERGING MARKETS TARGETED VALUE PORTFOLIO	FPI	IN30005410100216	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AAFCE2416E		IN-US-FP-0735-18/NA	5045	0.01
18	GENERAL MOTORS EMPLOYEES	FPI	0093092	THE HONGKONG AND SHANGHAI BANKING CO OP LTD 52-60 MAHATMA GANDHI ROAD BOMBAY	NA		NA	500	0.00
19	THE EMERGING MARKETS SMALL CAP SERIES OF THE DFA INVESTMENT TRUST COMPANY	FPI	IN30005410013268	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AAATD7768G		IN-US-FP-0339-16/NA	169650	0.24
20	DFA INTERNATIONAL VECTOR EQUITY FUND	FPI	IN30152430049709	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI	AABTD3519Q		INCAFP268815/ NA	5109	0.01
21	EMERGING MARKETS VALUE FUND OF DIMENSIONAL FUNDS PLC	FPI	IN30016710100587	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	AAAAE2450P		INIRFP027616/NA	17307	0.02
22	LOS ANGELES CITY EMPLOYEES RETIREMENT SYSTEM	FPI	IN30005410009298	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AAATL3381R		IN-US-FP-1757-17/EC.CO.FII/691/11.01.02(7 23)/2003-04	5572	0.01
23	OREGON PUBLIC EMPLOYEES RETIREMENT SYSTEM MANAGED THROUGH DIMENSIONAL FUND ADVISORS LP	FPI	IN30016710135343	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	AAATO2984K		INUSFP014216/NA	5397	0.01
24	SEI TRUST COMPANY AS TRUSTEE ON BEHALF OF SEGALL BRYANT AND HAMILL EMERGING MARKETS SMALL CAP TRUST	FPI	IN30014210759532	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI	AAUTS7930B		INUSFP005518/A.P. (DIR SERIES) CIRCULAR NO 112	10787	0.02
25	SPDR S AND P EMERGING MARKETS ETF	FPI	IN30016710031520	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	AAGTS4584D		INUSFP131416/NA	193317	0.27
26	WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	FPI	IN30005410074905	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AABCW4402G		IN-US-FP-0317-16/NA	12905	0.02

TRANSFER DATE	TYPE	SH/DB	AMTPERSH	FOLIO	SELLER NAME	FOLIO	BUYER NAME
11/06/2020	1	1500	000002	00004092	KUSUMBEN K BHAGAT	ND000001	NATIONAL SECURITY DE
11/06/2020	1	240	000002	00128694	KUSUMBEN KIRITKUMAR	ND000001	NATIONAL SECURITY DE
11/06/2020	1	60	000002	00159425	KUSUMBEN KIRITKUMAR	ND000001	NATIONAL SECURITY DE
31/08/2020	1	140	000002	00143529	MANHARLAL GANDHI	00301481	KOKILABEN GANDHI
31/08/2020	1	740	000002	00143537	MANHARLAL GANDHI	00301481	KOKILABEN GANDHI
31/08/2020	1	900	000002	00007316	MANHARLAL SHAMALDAS	00301481	KOKILABEN GANDHI
31/08/2020	1	4500	000002	00001104	MANHARLAL SHAMALDAS	00301481	KOKILABEN GANDHI
16/09/2020	1	3000	000002	00001333	R.CHANDRASEKHAR	IEPF2017	IEPF2017
16/09/2020	1	5240	000002	00001340	ASHIRVADAMMA SELVANA	IEPF2017	IEPF2017
16/09/2020	1	5740	000002	00001099	RAJNIKANT RAMDAS PAT	IEPF2017	IEPF2017
16/09/2020	1	440	000002	00003375	ANJALI B.MEHTA	IEPF2017	IEPF2017
16/09/2020	1	340	000002	00003377	ANJALI B.MEHTA	IEPF2017	IEPF2017
16/09/2020	1	60	000002	00137553	ANJALI B MEHTA	IEPF2017	IEPF2017
16/09/2020	1	200	000002	00169331	RADHA NAGARAJAN	IEPF2017	IEPF2017
16/09/2020	1	1600	000002	00003819	RAMESH SESHADRI	IEPF2017	IEPF2017
16/09/2020	1	100	000002	00187054	SANDHYA KARKHANIS	IEPF2017	IEPF2017
16/09/2020	1	1000	000002	00211516	ANANT KRISHNA DESHMU	IEPF2017	IEPF2017
16/09/2020	1	17720	000002	IEPF2017	Investior Education A	ND000001	NSDL
29/10/2020	1	2000	000002	00181854	SMITA ARVIND DOSHI	CD000001	CENTRAL DEPOSITORY S
05/11/2020	1	6280	000002	00301481	GANDHI KOKILABEN	CD000001	CENTRAL DEPOSITORY S
23/11/2020	1	1740	000002	00099686	RAMAKANT GOVIND RAWO	00301482	SURENDRA RAMAKANT RA
30/11/2020	1	5540	000002	00001128	KUSUMBEN JAYANTILAL	ND000001	NATIONAL SECURITY DE
02/12/2020	1	20	000002	00147656	HIMANSHU MAHESH SHAH	CD000001	CENTRAL DEPOSITORY S
02/12/2020	1	20	000002	00178683	KANAN M. SHAH	CD000001	CENTRAL DEPOSITORY S
08/12/2020	1	60	000002	00301099	ABDUL RASHID AHMED M	CD000001	CENTRAL DEPOSITORY S
11/12/2020	1	20	000002	00150860	A. MALLIKARJUNA SETT	ND000001	NATIONAL SECURITY DE
11/12/2020	1	640	000002	00003140	A MALLIKARJUNA SETTY	ND000001	NATIONAL SECURITY DE
21/12/2020	1	3680	000002	00004334	INDUMATI RAMANLAL PA	00301483	SHRI CHETAN RAMANLAL
31/12/2020	1	280	000002	00006198	HIMANSHU MAHESH SHAH	CD000001	CENTRAL DEPOSITORY S
05/01/2021	1	540	000002	00001386	HARSHAD K KESHARUWAL	CD000001	CENTRAL DEPOSITORY S
12/01/2021	1	100	000002	00109622	HARSHAD KESHARUWALA	CD000001	CENTRAL DEPOSITORY S
19/01/2021	1	3680	000002	00301483	CHETAN RAMANLAL PARI	CD000001	CENTRAL DEPOSITORY S
22/01/2021	1	1740	000002	00301482	SURENDRA RAMAKANT RA	CD000001	CENTRAL DEPOSITORY S
22/02/2021	1	4500	000002	00002160	T.N.NAGABHUSHAN	CD000001	CENTRAL DEPOSITORY S
25/02/2021	1	920	000002	00140406	OM PRAKASH JAGGI	CD000001	CENTRAL DEPOSITORY S
01/03/2021	1	1300	000002	00003426	MEHUL HASMUKH KAMDAR	ND000001	NATIONAL SECURITY DE
08/03/2021	1	700	000002	00004700	G SENTHAMARAI	IEPF2017	IEPF2017
08/03/2021	1	40	000002	00005180	MALTI PRABHAKAR RAJA	IEPF2017	IEPF2017
08/03/2021	1	100	000002	00002857	INDULAL PRANLAL SHAH	IEPF2017	IEPF2017
08/03/2021	1	11250	000002	00001704	NATHALAL PRABHUDAS Z	IEPF2017	IEPF2017
08/03/2021	1	540	000002	00001852	PRAFULL MANGALDAS SH	IEPF2017	IEPF2017
08/03/2021	1	900	000002	00001918	SHANTIDEVI CHHABRA	IEPF2017	IEPF2017
08/03/2021	1	80	000002	00007055	MANDODARIBEN L. MAHE	IEPF2017	IEPF2017
08/03/2021	1	140	000002	00007846	KUSUM CHHABRA	IEPF2017	IEPF2017
08/03/2021	1	40	000002	00173053	SALEHA NATOOBHAI PAT	IEPF2017	IEPF2017
08/03/2021	1	20	000002	00148571	HEMLATA INDULAL SHAH	IEPF2017	IEPF2017
08/03/2021	1	500	000002	00201367	BADRILAL CHOUDHARY	IEPF2017	IEPF2017
08/03/2021	1	3250	000002	00300212	RAMESHCHANDRA KESHAV	IEPF2017	IEPF2017
08/03/2021	1	17560	000002	IEPF2017	Investior Education A	ND000001	NSDL
22/03/2021	1	1804	000002	00088242	S SWAMINATHAN	CD000001	CENTRAL DEPOSITORY S
26/03/2021	1	1660	000002	00187593	DEVENDRA H DAVE	ND000001	NATIONAL SECURITY DE
26/03/2021	1	600	000002	00189421	DEVENDRA H DAVE	ND000001	NATIONAL SECURITY DE
30/03/2021	1	3500	000002	00301438	SHANTI KRISHNAN	ND000001	NATIONAL SECURITY DE